

SANCTIONS FOR TITLE I SCHOOLS

NOT MAKING ADEQUATE YEARLY PROGRESS (AYP)

SCHOOLS IDENTIFIED IN NEED OF IMPROVEMENT (SINI) STATUS - *Must make AYP for two consecutive years to be removed from SINI status*

Timeline	What schools must do	What districts must do
Miss AYP for one year	<i>No sanctions</i>	
<p>SINI Level 1</p> <p style="color: blue; text-align: center;">SCHOOL IMPROVEMENT STATUS</p> <p style="text-align: center;">Miss AYP for two consecutive years</p>	<ul style="list-style-type: none"> ■ Within 3 months, identified school must develop new or revise existing improvement plan. The plan must: <ul style="list-style-type: none"> ○ Cover a 2-year period ○ Incorporate scientifically-based research strategies... ○ Adopt policies and practices concerning the school's core academic subjects that have the greatest likelihood of raising student achievement... ○ Assure that the school will spend not less than 10% of its Title I funds on high-quality professional development annually that addresses the academic achievement problem that caused the school to be SINI ○ Specify how the funds...will be used to remove the school from school improvement status ○ Establish annual measurable objectives for continuous and substantial progress to ensure that each subgroup will meet proficiency within 12 years ○ Describe how the school will provide written notice about the identification to parents of each student enrolled in such school ○ Specify the responsibilities of the school, the district, and the state educational agency serving the school under the plan, including the technical assistance to be provided by the district ○ Include strategies to promote effective parental involvement in the school ○ Incorporate, as appropriate, activities before school, after school, during the summer, and during any extension of the school year ○ Incorporate a teacher mentoring program ■ Must receive technical assistance from the district ■ Must provide all students an option to transfer to another public school served by the LEA* ■ Must implement improvement plan by the beginning of the school year 	<ul style="list-style-type: none"> ■ Identify for school improvement any elementary school or secondary school that fails, for 2 consecutive years, to make adequate yearly progress... ■ Promptly provide notice to parents of their child's school's identification ■ Review school improvement plans, using a peer review process, within 45 days of receiving it and approve it if it meets the statutory requirements ■ Ensure that the school receives technical assistance, based on scientifically-based research, that includes: <ul style="list-style-type: none"> ○ Assistance in analyzing data... ○ Assistance in identifying and implementing professional development... ○ Assistance in analyzing and revising the school budget... ■ *Provide to parents of students eligible to transfer a choice of more than one school within the district (if available) that are not identified for improvement. ■ Set aside up to 20% of the district's Title I allocation, if needed, for purposes of providing transportation for students electing to transfer to another public school served by the district.

Timeline	What schools must do	What districts must do
<p>SINI Level 2</p> <p>SCHOOL IMPROVEMENT STATUS</p> <p>Miss AYP for three years</p>	<ul style="list-style-type: none"> ■ Must make supplemental educational services available ■ Must continue to receive technical assistance from district ■ Must continue to provide school choice for parents within the district 	<p>If a school fails to make AYP by the end of the first full year after identification, the district must:</p> <ul style="list-style-type: none"> ■ Make supplemental educational services available and notify parents of such (the district may delay, for no more than one year, implementation of supplemental services if the school makes AYP for one year or if failure is due to exceptional or uncontrollable circumstances, such as natural disaster or precipitous and unforeseen decline in the financial resources of the LEA or school) ■ Continue to provide technical assistance based on scientifically-based research ■ Continue to provide all students in the school the option to transfer to another public school within the district not identified for improvement ■ Set aside up to 20% of district Title I funds, if needed, for the purpose of meeting the public school choice and supplemental educational services requirements: minimum 5% each if needed to meet demand; prioritize by neediest low-income.
<p>SINI Level 3</p> <p>CORRECTIVE ACTION STATUS</p> <p>Miss AYP for four years</p>	<ul style="list-style-type: none"> ■ Implement the corrective action identified by the district ■ Must continue to receive technical assistance from district ■ Must continue to provide school choice for parents ■ Must continue to make supplemental educational services available 	<p>If a school fails to make AYP by the end of the second full school year after identification, the district must:</p> <ul style="list-style-type: none"> ■ Identify the school for corrective action and take at least one of the following actions: <ul style="list-style-type: none"> ○ Replace school staff relevant to the failure ○ Institute and implement a new curriculum ○ Significantly decrease management authority in the school ○ Appoint outside experts to advise the school ○ Extend school year or school day ○ Restructure internal organization of the school ■ Continue to provide technical assistance based on scientifically-based research ■ Continue to make public school choice available ■ Continue to make supplemental educational services available ■ Publish and disseminate information regarding any corrective action to the public and parents of each student enrolled in the school subject to corrective action

Timeline	What schools must do	What districts must do
<p>SINI Level 4</p> <p>RESTRUCTURING STATUS</p> <p>Miss AYP for five years</p>	<ul style="list-style-type: none"> ■ Implement the corrective action identified by the LEA ■ Must continue to provide school choice for parents ■ Must continue to make supplemental educational services available 	<p>If a school fails to make AYP after one full year of corrective action, the district must:</p> <ul style="list-style-type: none"> ■ Prepare a plan to restructure the school ■ By the beginning of the next school year, implement one of the following alternative governance arrangements, consistent with state law: <ul style="list-style-type: none"> ○ Reopen school as a public charter school ○ Replace all or most of school staff, including principal ○ Enter into a contract with an entity, such as a private management company, with a demonstrated record of effectiveness to operate the school ○ State takeover ○ Any other major restructuring of the school's governance arrangement ■ Continue to make public school choice available ■ Continue to make supplemental services available ■ Provide prompt notice to teachers and parents, with opportunity to comment and participate in developing any plan...
<p>SINI Level 5</p> <p>RESTRUCTURING STATUS</p> <p>Miss AYP for six years</p>	<ul style="list-style-type: none"> ■ Implement district plan to restructure the school ■ Must continue to provide school choice for parents ■ Must continue to make supplemental educational services available 	<ul style="list-style-type: none"> ■ Implement plan to restructure the school ■ Continue to make public school choice available ■ Continue to make supplemental services available <p>The LEA is no longer required to carry out these requirements if the restructured school makes AYP for two consecutive years</p>

State Educational Agency Responsibilities:

- Make technical assistance available to schools identified for school improvement, corrective action, or restructuring. A state **shall**:
 - First, provide support and assistance to LEAs with schools subject to corrective action...and assist those schools...for which an LEA has failed to carry out its responsibilities...
 - Second, provide support and assistance to other LEAs with schools identified as in need of improvement...
 - Third, provide support and assistance to other LEAs and schools...that need support and assistance...
- If the state educational agency determined that an LEA failed to carry out its responsibilities..., take such corrective actions as the state educational agency determines to be appropriate and in compliance with state law;
- Ensure that academic assessment results...are provided to schools before any identification of a school make take place...
- For LEAs or schools identified for improvement..., notify the Secretary of major factors that were brought to the attention of the SEA, through collaboration with agencies providing services to children, youth, and families, that have significantly affected student academic achievement.

Notes:

- Sanctions only apply to Title I schools
- If a school identified for improvement, corrective action, or restructuring makes AYP for two consecutive years, the school is no longer identified for improvement.